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Seaborn

ATTORNEY'S [DocX971] DOCKET NO: **H0535/7009**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Howard Green et al.  
Serial No: 09/359,920  
Filed: July 22, 1999  
For: TRANSGLUTAMINASE LINKAGE OF AGENTS TO TISSUE  
Examiner: Not Yet Assigned  
Group Art Unit: 1617



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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 19th day of October, 1999.

Maryanne Trevisan

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BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**TRANSMITTAL**

Transmitted herewith are the following document(s):

- ☒ Response to the Notice to File Missing Parts
- ☒ Declaration executed by all four inventors
- ☒ Copy of Notice to File Missing Parts of Application
- ☒ Declaration Claiming Small Entity Status (Small Business Concern) executed by officer of Pericor Science, Inc.
- ☒ Four Declarations Claiming Small Entity Status (Independent Inventor) executed by all four inventors
- ☒ Preliminary Amendment
- ☒ Fee Calculation Sheet
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

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A check in the amount of \$709.00 is enclosed as payment for the basic filing fee, additional claims fee, additional independent claims fee, and surcharge fee. If any of these fees is insufficient, please charge any deficiency or credit any overpayment to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By Edward R. Gates

Edward R. Gates, Reg. No. 31,616

WOLF, GREENFIELD & SACKS, P.C.

600 Atlantic Avenue

Boston, MA 02210-2211

Attorneys for Applicant(s)

Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: H0535/7009

DATE: October 19, 1999

X10/19/99

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Maryanne Trevisan

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to File Missing Parts of application mailed from the United States Patent and Trademark Office on August 19, 1999.

Attached is an original Declaration for Patent Application executed by all four inventors.

Also attached is a Declaration Claiming Small Entity Status (Small Business Concern) executed by an officer of Pericor Science, Inc. and four Declarations Claiming Small Entity Status (Independent Inventor) executed by each of the four inventors.

Also attached is a Preliminary Amendment amending the claims before fee calculation and a Fee Calculation Sheet.

The attached check for \$709.00 includes the small entity fees as follows: \$380.00 basic filing fee along with \$108.00 for additional total claims fee, \$156.00 for additional independent claims fees, and \$65.00 surcharge fee.

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Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 259) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By Edward R. Gates  
Edward R. Gates, Reg. No. 31,616  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: H0535/7009  
DATE: October 19, 1999  
**X10/19/99**

Attorney Docket No. H0535/7009(MAT)

Inventor(s): Howard Green et al.

Serial No: 09/359,920

Filed: July 22, 1999

For: TRANSGLUTAMINASE LINKAGE OF AGENTS TO  
TISSUE

CHECK BOX, if applicable:

☒ DUPLICATE

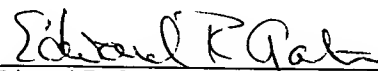
### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	32-20=	12 x	\$18	= \$ 216.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	7-3=	4 x	\$78	= \$ 312.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$ 0.00
				BASIC FEE (37 CFR 1.16(a))	\$760.00
	Fee for Petition for Extension of Time (if any)				\$
			Total of above Calculations =		\$1288.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 644.00
	Assignment Recordation Fee (if any)				\$
	Other Fees (e.g., Petition for Extension of Time), if any NOTE: Enter small-entity amount if applicable.				\$ 65.00
	TOTAL =				\$ 709.00

1. A check in the amount of \$709.00 is enclosed.

#### General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §1.16 X 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR §1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
Edward R. Gates, Reg. No. 31,616  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. H0535/7009  
Date: October 19, 1999

411756.1



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/359,920 07/22/99 GREEN

DOCKETED

H0535/7009(E)

AUG 25 1999

0242/0819

EDWARD R GATES  
WOLF GREENFIELD & SACKS PC  
600 ATLANTIC AVENUE  
BOSTON MA 02210

NOT ASSIGNED

	Initials
File Folder	
ECB	
Docket Entry	
Docket Cross Off	
Order Copies	
Annulments	
Confirmation	

1617

DATE MAILED:

08/19/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 2,156.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 954 for 53 total claims over 20.

\$ 312 for 4 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

W. Hunt  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202